

# Robotic Deployment for Environmental Sampling Applications

Dan O. Popa, Koushil Sreenath and Frank L. Lewis

Automation and Robotics Research Institute (ARRI)

University of Texas at Arlington, Arlington, Texas, USA, Email: {popa@arri.uta.edu}

**Abstract:** *The use of robotics in environmental monitoring applications requires distributed sensor systems optimized for effective estimation of relevant models subject to energy and environmental constraints. The sensor carrying robots are in fact agents that facilitate the repositioning of network nodes in order to increase their coverage and accuracy. Wireless or acoustic network communication is an essential technology in transmitting the sensed as well as telemetry information between robots. Each mobile sensing node (robot) is characterized by sensing or measurement noise, localization uncertainty, and navigates in a region with a parameterized field model.*

*This paper addresses a problem of great importance to the SN robotic deployment: adaptive sampling by selection and repositioning of mobile sensing nodes in order to optimally estimate the parameters of distributed variable field models. We present simulation results using deployment scenarios that will be experimentally validated at a future date.*

**Keywords:** *Environmental Sampling, Adaptive Sampling, Sensor Networks, Kalman Filter.*

## I. INTRODUCTION

Recently, there has been renewed interest in using mobile robots on land, under water and in air, as sensor carrying platforms in order to perform sampling missions, such as searching for harmful biological and chemical agents, search and rescue in disaster areas, or environmental mapping and monitoring [Mataric2002, Cortes2002, Kumar2003, Popab2004]. Even though mobility introduces additional degrees of complexity in managing an untethered collection of vehicles, it allows the repositioning of the on-board sensors, thus expanding the coverage and operational lifetime of the sensor network. In the context of autonomous vehicles, many important issues regarding the deployment architectures await to be fully addressed, including the selection of appropriate information measures to guide and evaluate the mission, and the distribution of computation and communication among the autonomous vehicles. Sampling is a broad methodology for gathering statistics about physical and social phenomena, and it provides a data source for predictive modeling in oceanography and meteorology [Bennet2002]. The term adaptive sampling has been used to denote sampling strategies that can change depending on prior measurements or analysis, and thus allow for adaptation to dynamic or unknown scenarios. One such scenario involves the deployment of multiple underwater vehicles for the environmental monitoring of large bodies of water, such as oceans, harbors, lakes, rivers and estuaries. Predictive

models and maps can be created by repeated measurements of physical characteristics such as water temperature, dissolved oxygen, current strength and direction, or bathymetry. However, because the sampling volume could be quite large, only a limited number of measurements are usually available. Intuitively, a deliberate sampling strategy based on models will be more efficient than just a random sampling strategy.

There has been wide interest in the development of distributed sensor networks that incorporate local communications capability, changing of the network topology, protocols, and routing dependent on the task and constraints [Estrin, Yu 2001, Ye2002]. Problems addressed in this work include object tracking [Chen2003, Zhao2003], target classification [Mataric1995], distributed control [Sinopoli2003], and sensor validation [Aarabi2002].

Robotics technology provides for mobile sensing nodes in a distributed sensor network using prior research on cooperative mobile robots including localization methods and mapping [for instance Sanderson1998, Newman1999, Fenwick2002]. Many robot team tasks have been investigated for example foraging, ant colony behavior, robotic soccer, map making, area searching, mine sweeping, etc. In some instances, team behaviors have been implemented using potential fields, in particular for obstacle avoidance, goal attainment, and sensor network deployment [Mataric2002, Popab2004].

While multiple vehicle localization and sensor fusion are classic problems in robotics, the problem of distributed field variable estimation is typically relevant to charting, prediction and inverse modeling in oceanography and meteorology [Curtin1993, Brink1997, Creed1998]. In this context, measurement uncertainty has been addressed using Kalman-Filter Estimation [Lewis1986, Bennet2002].

In this paper we use both closed form formulas of parameter variance, as well as the Kalman Filter to select sampling locations for vehicles navigating inside a parameterized field. In the future, this work will be extended to include potential field deployment algorithms for mobile sensors, energy and sampling time constraints, physical constraints, as well as a limited communication bandwidth between multiple vehicles.

## II. EKF-BASED SAMPLING FOR PARAMETRIZED FIELDS

A number of fundamental issues arise in the coordination of N vehicles used to sample a field distribution. Figure 1 depicts an environmental sampling mission using underwater vehicles, in which the AUVs must achieve cooperative localization, form a network for communication of the

sensory data, and maximize the information acquired through planning and control of the vehicles paths and their sampling locations.

The approach proposed by Popa and Sanderson [Popa2004] focuses on the combination of uncertainty in localization as well as in the sensor measurements to achieve effective adaptive sampling. Localization uncertainties are especially relevant for underwater vehicles, since position estimates are often inaccurate due to navigational errors from dead-reckoning. We integrate model parameter estimation for the field variable with estimation of the uncertainty in the mobile sensor localization. Sampling missions using a Solar AUV are currently being planned using this approach [Blidberg 2000].

Assuming that the field variable distribution is stationary, the combined nonlinear vehicle dynamics and sensor model with Gaussian noise assumptions can be generally represented by:

- Sensor node state dynamics:

$$x_{k+1} = x_k + h(x_k, u_k) + w_k, \text{ where } x_k \text{ is the vehicle state, with noise covariance matrix } E[w_k w_k^T] = Q_k.$$

- Sensor node position output:

$$y_k = f(x_k) + \xi_k, \text{ where the output noise covariance is } E[\xi_k \xi_k^T] = R_{1k}.$$

- Distributed field variable parameterized model:

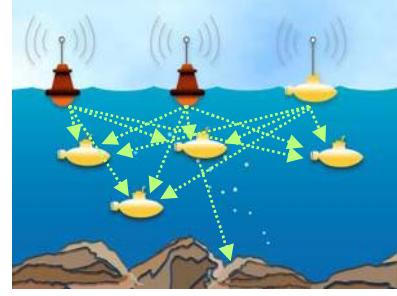
$$z_k = g(x_k, a_k) + v_k, \text{ where } z_k \text{ is the field variable with measurement noise covariance } E[v_k v_k^T] = R_{2k}, \text{ and } a_k \text{ is a vector of unknown coefficients describing the field.}$$

If the set of coefficients is unknown but constant, we add the vector  $a$  to the overall system state, with an evolution governed by  $a_{k+1} = a_k$ . [Popa2004] describes the simultaneous sampling and navigation estimation problem formulated using the extended Kalman Filter (EKF), using an overall state vector  $X_k = (x_k, a_k)$ .

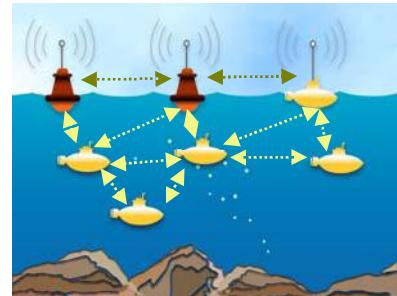
#### A. Closed-form estimation for a parameter-linear field without localization uncertainty

If the parametric form of a measurement field is known, as might be the case, for example, with a bottom profile or systematic variations in temperature or salinity, the field estimation can be integrated with localization in order to improve the estimation process. The assumption that the field distribution is linear in its parameters allows us to compute a closed form solution for the information measure used by the sampling algorithm. If there is no uncertainty in the vehicle localization, the unknown field coefficient covariance can be calculated directly using a simple least square estimation. After  $n$  measurements taken at location  $X_i$ , the field model depends linearly on the coefficients  $a_j$  via position-dependent functions, and we can directly estimate the unknown coefficients from the least-square solution:

$$\begin{aligned} Z_1 &= a_o + a_1 g_1(X_1) + \dots + a_m g_m(X_1) \\ Z_2 &= a_o + a_1 g_1(X_2) + \dots + a_m g_m(X_2) \\ &\vdots \\ Z_n &= a_o + a_1 g_1(X_n) + \dots + a_m g_m(X_n) \\ \hat{A}_n &= (1 \ g_i(X_j))_{i \leq m, j \leq n}^+ \begin{pmatrix} Z_1 \\ \vdots \\ Z_n \end{pmatrix} = M_n^+ \begin{pmatrix} Z_1 \\ \vdots \\ Z_n \end{pmatrix}. \end{aligned}$$



Cooperative Mapping and Localization



Distributed Network Architecture



Multi-sensor Fusion for Distributed Fields

Fig. 1: Schematic diagram of fundamental problems related to adaptive sampling.

Furthermore, because the pseudo-inverse has a closed form  $M_n^+ = (M_n^T M_n)^{-1} M_n^T$ , we obtain:

$$\hat{A}_n = \left( \sum_{j=1}^n (1 \ g_i(X_j) \dots \ g_m(X_j)) \begin{pmatrix} 1 \\ \vdots \\ g_i(X_j) \\ \vdots \\ g_m(X_j) \end{pmatrix} \right)^{-1} \sum_{j=1}^n Z_j \begin{pmatrix} 1 \\ \vdots \\ g_i(X_j) \\ \vdots \\ g_m(X_j) \end{pmatrix}.$$

The covariance matrix of  $\hat{A}_n$  can now be related directly to the (constant) measurement uncertainty as:

$$\text{var}(\hat{A}_n) = \text{var}(Z_i)(M_n^T M_n)^{-1},$$

and the adaptive sampling algorithm will move the vehicle from location  $X_n$  to  $X_{n+1}$ , such that the following p-norm is maximized over the search space  $\Theta$ :

$$m(X) = \left\| \begin{pmatrix} 1 \\ \vdots \\ M_n^T g_i(X) \\ \vdots \\ g_m(X) \end{pmatrix} \begin{pmatrix} & M_n \\ 1 & \dots g_i(X) \dots & g_m(X) \end{pmatrix} \right\|_p,$$

$$m(X_{n+1}) \geq m(X), (\forall) X \in \Theta.$$

#### B. Kalman filter estimation for a parameter-linear field without localization uncertainty

The same sampling measure is obtained by using a Kalman Filter to set up the recursive equations. A similar simple case appears in [Lewis 1986, pp.137]. The state and output equations can be written as:

$$A_{k+1} = A_k, Z_k = G_k A_k + \nu_k, \text{ where}$$

$$G_k = (1 \dots g_i(X_k) \dots g_m(X_k)), E[\nu_k \nu_k^T] = R.$$

Because of the simple state update equation, the error covariance update can be reduced to:

$$A_o \sim (\bar{A}_o, P_{A_o}), P_o = P_{A_0}, P_{k+1}^{-1} = P_k^{-1} + G_{k+1}^T R^{-1} G_{k+1},$$

$$\hat{A}_{k+1} = \hat{A}_k + P_{k+1} G_{k+1}^T R^{-1} (Z_{k+1} - G_{k+1} \hat{A}_k).$$

The error covariance is similar to the least squares solution, and can be directly calculated by

$$P_k = (P_0^{-1} + \sum_{j=1}^k G_j^T R^{-1} G_j)^{-1}.$$

#### C. Kalman filter estimation for a linear field with localization uncertainty

In this case, the sampling objective is to determine the unknown coefficients of a 3D plane that describes the field variable, given a known localization uncertainty described by a simple kinematic model. As an example, consider navigation in a shallow region (lake or coastal region) where smooth changes in depth provide a linear field model measured as altitude by the vehicle. For this example,  $m=3$ , and  $X = (x \ y \ z)^T, g_1(X) = x, g_2(X) = y, g_3(X) = z$ .

The state and output equations can be written as:

$$\begin{pmatrix} X_{k+1} \\ A_{k+1} \end{pmatrix} = \begin{pmatrix} X_k \\ A_k \end{pmatrix} + \begin{pmatrix} I_3 \\ 0 \end{pmatrix} U_k + \begin{pmatrix} w_k \\ 0 \end{pmatrix} = \begin{pmatrix} X_k \\ A_k \end{pmatrix} + B U_k + \vartheta_k,$$

$$\begin{pmatrix} Y_k \\ Z_k \end{pmatrix} = \begin{pmatrix} X_k \\ (1 \ X_k^T) A_k \end{pmatrix} + \begin{pmatrix} \xi_k \\ v_k \end{pmatrix} = \begin{pmatrix} I_3 & 0 \\ 0 & (1 \ X_k^T) \end{pmatrix} \begin{pmatrix} X_k \\ A_k \end{pmatrix} + \lambda_k,$$

$$\text{where } E[\vartheta_k \vartheta_k^T] = Q = \begin{pmatrix} Q_1 & 0 \\ 0 & 0 \end{pmatrix}, E[\lambda_k \lambda_k^T] = R = \begin{pmatrix} R_1 & 0 \\ 0 & R_2 \end{pmatrix}$$

are the white noise covariances of the state and output. The nonlinear Kalman filter equations become:

$$P_{k+1}^- = P_k + Q, \begin{pmatrix} X_{k+1}^- \\ A_{k+1}^- \end{pmatrix} = \begin{pmatrix} \hat{X}_k \\ \hat{A}_k \end{pmatrix} + B U_k$$

$$P_{k+1} = ((P_{k+1}^-)^{-1} + G_{k+1}^T R^{-1} G_{k+1})^{-1}$$

$$G_k = \begin{pmatrix} I_3 & 0 \\ 0 & (1 \ X_k^T) \end{pmatrix}, \begin{pmatrix} X_{k+1}^- \\ A_{k+1}^- \end{pmatrix} = \begin{pmatrix} X_k \\ A_k \end{pmatrix} + B U_k$$

$$\begin{pmatrix} \hat{X}_{k+1} \\ \hat{A}_{k+1} \end{pmatrix} = \begin{pmatrix} X_{k+1}^- \\ A_{k+1}^- \end{pmatrix} + P_{k+1} G_{k+1}^T R^{-1} \left( \begin{pmatrix} Y_{k+1} \\ Z_{k+1} \end{pmatrix} - G_{k+1} \begin{pmatrix} X_{k+1}^- \\ A_{k+1}^- \end{pmatrix} \right) - G_{k+1} \begin{pmatrix} Y_{k+1} \\ Z_{k+1} \end{pmatrix}.$$

### III. SIMULATION RESULTS

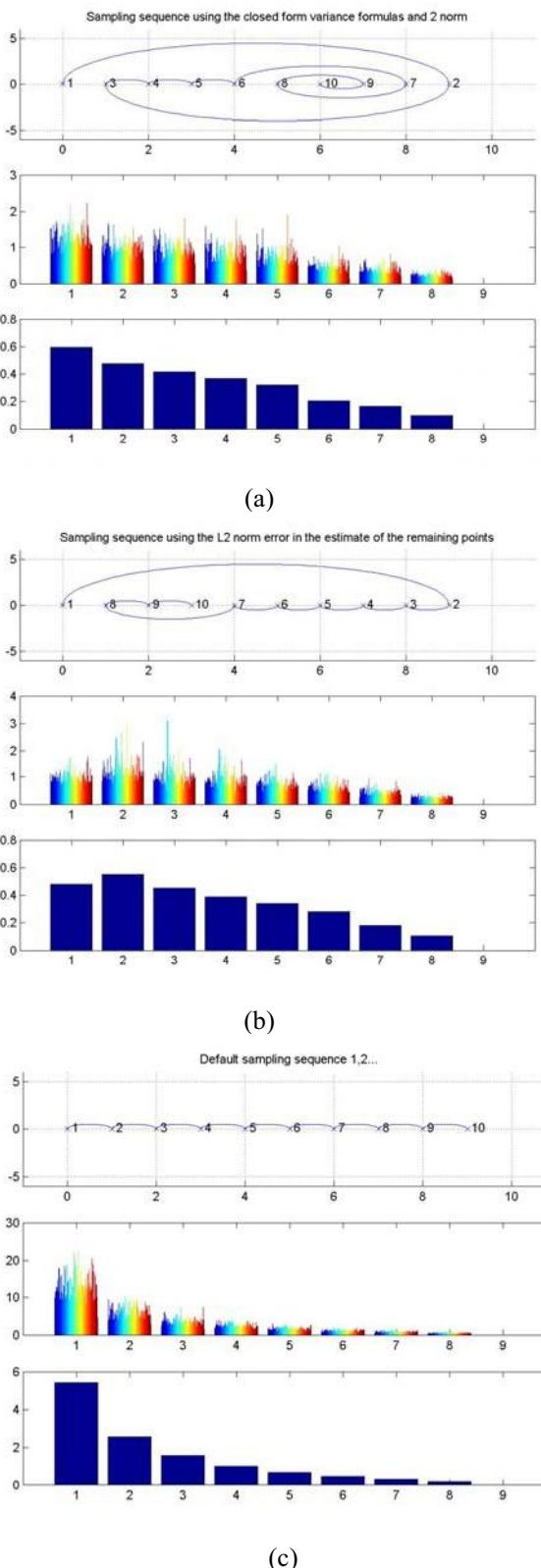
We have run sets of Monte Carlo simulation for the sampling problem in both 1D and 2D. The first set of simulations were obtained using a linear field distribution without localization uncertainty. The simulation conditions and the various measures used for comparison are shown in Table 1. Measurement samples were generated by using Gaussian random numbers with a set variance of 10% of the nominal field value. Different measures were utilized in order to select the sampling sequence, and the averaged results over 1000 field sample instantiations were compared to one another using a common measure. This common performance measure is the same one as the sampling criterion used in simulation set 5, namely the 2-norm of the error between the “true” field measurement value, and the predicted values at locations in space not yet sampled. For simulation sets 1 and 2 we used the closed form variance formula and the SVD of

the covariance matrix  $\hat{A}_n$ , and evaluated the infinity and the 2-norm of the singular values. For simulation sets 3 and 4 we used the same next point sampling criterion as the common performance measure, and for sample 5 we used the default linear (for 1D) or “lawn mower” (for 2D) sampling criteria.

Set	Iterations	Next point sampling criteria
1	1000	Closed form variance, infinity norm
2	1000	Closed form variance, 2-norm
3	1000	2-norm of prediction error
4	1000	Infinity norm of prediction error
5	1000	Default 1D step or 2D “lawn mower”

Table 1: Summary of Monte Carlo simulation results, using various sampling measures.

Figures 2 (a) – (c) compare the results of simulation sets 2, 4, and 5 for the 1D case. While the resulting sampling sequences are different for each sampling set, the value of the estimation residuals are similar in all cases, and much smaller than the estimation residuals obtained in the default sampling sequence 5.

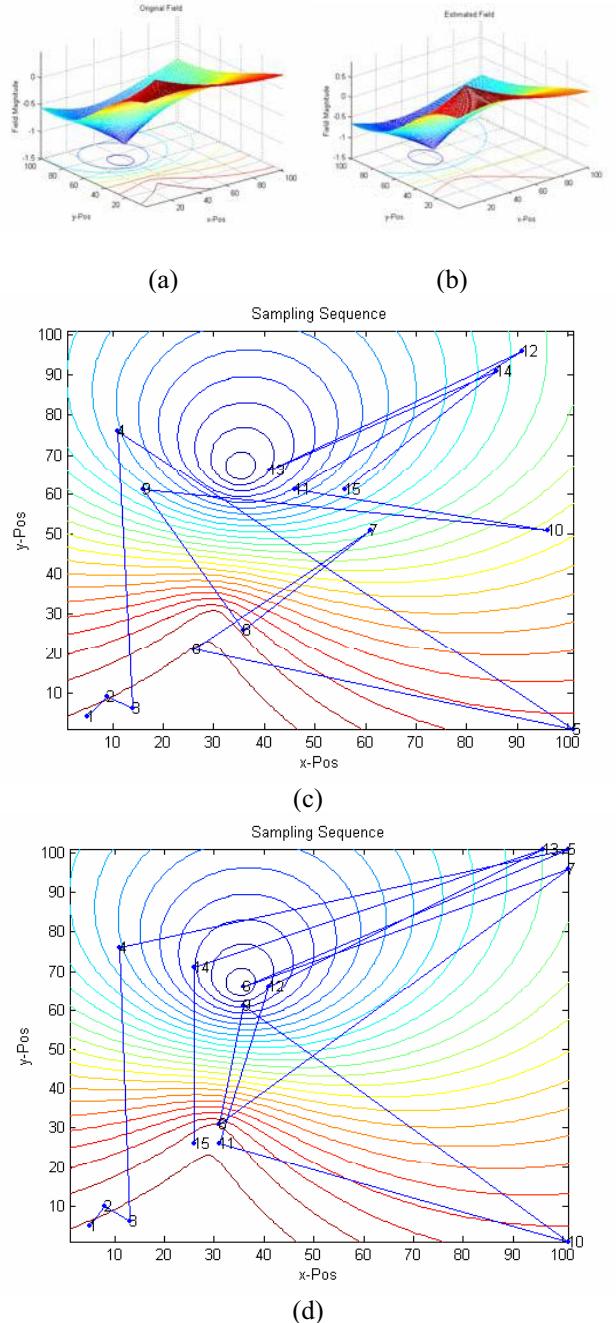


*Fig. 2: 1D Adaptive sampling sequence, histogram showing the variance of the sampling set, and Monte Carlo average results showing the estimation measure after each sampling step for sets 2, 4 and 5.*

The second set of simulations uses a 2D non-linear field (though still linear in the parameters), consisting of a sum of two Gaussian distributions:

$$G(x, y) = a_0 + a_1 g_1(x, y) + a_2 g_2(x, y), \quad g(x, y) = e^{-\frac{\sqrt{(x-x_0)^2 + (y-y_0)^2}}{2\sigma^2}}$$

The centers of Gaussians for  $g_1(x, y), g_2(x, y)$  for either field are  $(30, 30), (65, 45), \sigma = 10$ , and the sampling sequences generated are shown in Figure 3 (a)-(d).

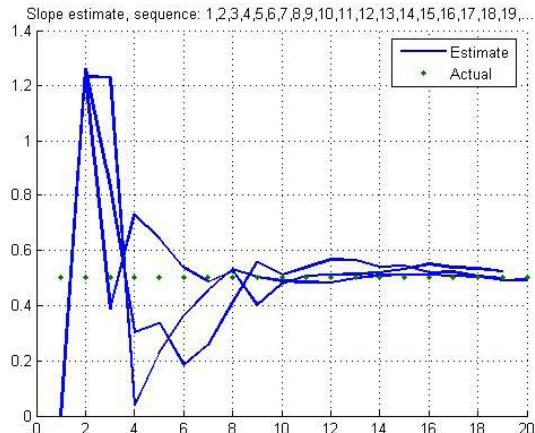


*Fig 3:(a-d): Sampling sequence for a Gaussian field distribution (original – (a), estimated – (b)) is generated to minimize error variance using the infinity norm (c), and the 2-norm (d). First fifteen sampling locations are shown.*

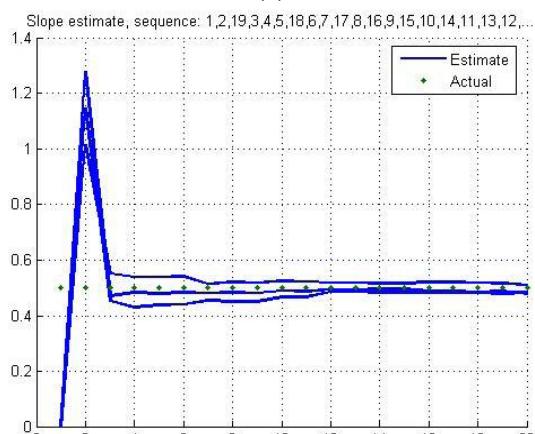
The third set of simulations was performed for the case of simultaneous vehicle localization and linear parameter field estimation. In the 1D case, we considered data sets generated under Gaussian noise assumptions, using nominal coefficient values  $a_0 = 2$ ,  $a_1 = 0.5$  (intercept and slope), and measurement noise covariance of 0.5, state measurement noise covariance of 0.1, and state transition noise covariance of 0.1. The convergence of the coefficient estimates to their nominal values is shown in Figures 4 (a)-(d) for two different sampling sequences. For figures 4(a) and (c), the sampling sequence is the default one (1,2,...), while figures 4(b) and (d) were obtained by minimizing the error covariance, e.g. the adaptive sampling algorithm moves the vehicle from location  $X_n$  to  $X_{n+1}$ , such that the following infinity norm is maximized over the search space  $\Theta$ :

$$m(X) = \|P_n\|_\infty, m(X_{n+1}) \geq m(X), (\forall) X \in \Theta.$$

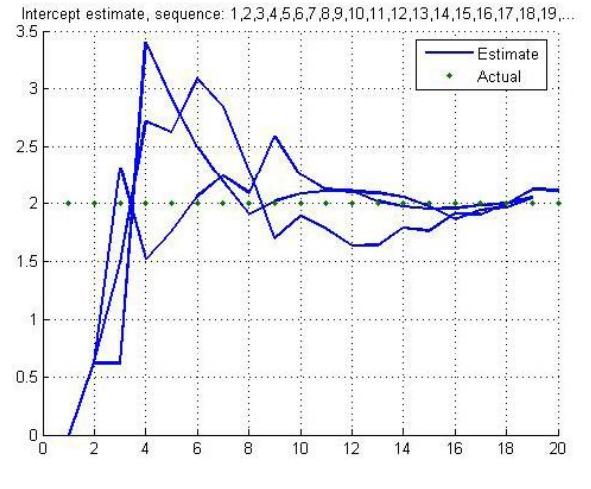
Note that the slope estimate of the second sampling sequence converges faster to its nominal value. The optimal sampling sequence is similar to the one in Figure 2(a) because the localization error is still smaller than the measurement error.



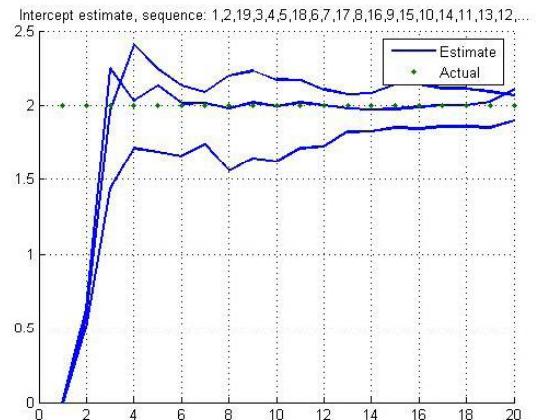
(a)



(b)



(c)



(d)

Fig 4:(a-d):The convergence of slope and intercept in a combined EKF field estimation and localization problem for the default (a,c) and optimal (b,d) 1D sampling sequence.

#### IV. CONCLUSION AND FUTURE WORK

The algorithms described in this paper provide an initial demonstration of a strategy for systematic exploration of environmental sensing methods based on information measures. Mobile sensors are directed to sample at locations that most reduce the uncertainty in our knowledge of the field distribution. The goal is to develop generalized methods for deployment of mobile sensing nodes taking advantage of broad process and flow models of regions and environments.

Future work includes expanding the simulation and experimental work to include non-linear and time-varying field distributions, proper kinematic and dynamic vehicle models, multiple vehicles, and secondary optimization objectives such as energy resources and communication bandwidth.

The research described here is coordinated with larger efforts in environmental sensing and monitoring related to rivers, estuaries, and coastal areas [Popa2004]. Furthermore, a fleet of 20 inexpensive (below \$1,000 per unit) mobile

sensor network nodes is currently being built at ARRI's WSN lab (Figure 5), as part of a larger testbed composed of larger CyberGuard SR2/ESP robots and wireless Xbow motes (Figure 6). The inexpensive robot units are equipped with wheel encoders for localization, and share this data through a mote that lacks the antenna. The absence of the antenna makes it possible for two robot units to go out of range if the distance between them is greater than 1ft. The rovers are controlled by a Javelin Stamp CPU and are also equipped with a color sensor that can measure 256 RGB values on the lab floor. By using the color sensor and the limited range Xbow motes, we can test the proposed adaptive sampling algorithms, as well as other algorithms maximizing the communication bandwidth in the wireless network.



**Fig 5: Inexpensive Rover Unit for color-based indoor adaptive sampling algorithm validation testbed.**



**Fig 6: CyberGuard SR2/ESP, inexpensive Rovers, and MICA Xbow motes on the lab floor at ARRI's WSN Lab.**

#### ACKNOWLEDGEMENTS

This work has been conducted with support in part from the Automation and Robotics Research Institute at UTA and in part by grant IIS-0329837 from the National Science Foundation. We wish to thank Prof. Arthur Sanderson at Rensselaer Polytechnic Institute for his helpful insight.

#### REFERENCES

- [1] P. Aarabi, "Self-localizing dynamic microphone arrays," IEEE Transactions on Systems, Man and Cybernetics, Part C, Vol. 32, pp. 474-484, Nov., 2002.
- [2] A.Bennet, Inverse Modeling of the Ocean and Athmosphere", Cambridge Press, 2002.
- [3] D. R. Blidberg, "The development of autonomous underwater vehicles (AUV); a brief summary," in Proceedings of the IEEE International Conference on Robotics and Automation (ICRA), Seoul, Korea, May, 2000.
- [4] Brink, K.H., "Observational coastal oceanography, Advances and Primary Research Opportunities in Physical Oceanography Studies", (APROPOS) Workshop, NSF-sponsored workshop on the Future of Physical Oceanography, 15-17 December, 1997.
- [5] J. Chen, L. Yip, J. Elson, H. Wang, D. Maniezzo, R. Hudson, K. Yao, D. Estrin, "Coherent acoustic array processing and localization on wireless sensor networks," in Proceedings of the IEEE, vol. 91, pp. 1154-1162, August, 2003.
- [6] J. Cortes, S. Martinez, T. Karatas, and F. Bullo, "Coverage control for mobile sensor networks", in IEEE Trans. On Robotics and Automation, 2002.
- [7] Creed, E.L., S.M. Glenn and R. Chant, "Adaptive Sampling Experiment at LEO-15", 1998.
- [8] Curtin, T.B., J.G. Bellingham, J. Catipovic and D. Webb, 1993. "Autonomous ocean sampling networks", Oceanography, 6(3), pp. 86-94.
- [9] D. Estrin, et al. Embedded Everywhere, a Research Agenda for Networked Systems of Embedded Computers. Landover, MD: Computer Science and Telecommunications Board, National Research Council, National Academy Press, 2001.
- [10] J. Fenwick, P. Newman, and J. Leonard, Cooperative concurrent mapping and localization," in Proc. IEEE Int. Conf. On Robotics and Automation, pp. 1810-1817, Washington, D.C., May, 2002.
- [11] V. Kumar G. A. S. Pereira, A. K. Das and M. F. M. Campos, "Decentralized motion planning for multiple robots subject to sensing and communications constraints," in Proceedings of the Second Multi Robot Systems Workshop, 2003.
- [12] F.L. Lewis, Optimal Estimation, Wiley, New York, 1986.
- [13] M. Mataric, "Issues and approaches in the design of collective autonomous agents," Robotics and Autonomous Systems, vol. 16, pp. 321-331, December, 1995.
- [14] M. J. Mataric A. Howard and G. S. Sukhatme, "Mobile sensor network deployment using potential fields: A distributed, scalable solution to the area coverage problem," in Proceedings of the 6th Int'l Symposium on Distributed Autonomous Robotics Systems, 2002.
- [15] P. Newman, On The Structure and Solution of the Simultaneous Localisation and Map Building Problem. PhD thesis, University of Sydney, Australian Centre for Field Robotics, 1999.
- [16] [Popa 2004] D. Popa, A. Sanderson, R. Komerska, S. Mupparapu, R. Blidberg, S. Chappel, "Adaptive Sampling Algorithms for Multiple Autonomous Underwater Vehicles", in Proc. of 2004 Workshop on Underwater Vehicles, Sebasco Estates, ME, June 2004.
- [17] [Popab 2004] D. Popa, C. Helm, H. E. Stephanou, A. Sanderson, "Robotic Deployment of Sensor Networks using Potential Fields", in Proc. Of International Robotics and Automation Conference, April-May 2004.
- [18] A. C. Sanderson, "Multirobot navigation using cooperative teams," Distributed Autonomous Robotic Systems 2, Berlin, Springer-Verlag, Asama et al., eds., pp. 389-400, 1998.
- [19] B. Sinopoli, C. Sharp, L. Schenato, S. Schaffert, S. Shastry, "Distributed control applications within sensor networks," in Proceedings of the IEEE, vol. 91, pp. 1225-1246, August, 2003.
- [20] W. Ye, J. Heindemann, D. Estrin, "An Energy-Efficient MAC Protocol for Wireless Sensor Networks", in Proc. of INFOCOM 2002.
- [21] Y. Yu, R. Govindan, D. Estrin, "Geographical and energy aware routing: a recursive data dissemination protocol for wireless sensor networks," UCLA CS Department Technical Report, CSD-TR-01-0023, May, 2001.
- [22] F. Zhao, J. Liu, J. Liu, L. Guibas, J. Reich, "Collaborative signal and information processing: an information-directed approach," in Proceedings of the IEEE, vol. 91, pp. 1199-1209, August, 2003.